

VENDOR COMPLIANCE

OVERVIEW

Risk Identification and Assessment

Comprehensive analysis and due diligence support that evaluate various functional and operational risks posed by the vendor and service provider relationship.

Our review provides risk assessment support through a professional team of experienced compliance professionals.

We review vendors and service providers in accordance with the Consumer Financial Protection Bureau's and Federal Agencies' expectations for financial institutions, with respect to overseeing their business relationships with service providers in a manner that ensures compliance with Federal consumer financial law.



Vendors Compliance Group

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VENDOR MANAGEMENT COMPLIANCE

CLOSING AGENTS

SETTLEMENT AGENTS

THIRD-PARTY VENDORS

SERVICE PROVIDER RELATIONSHIPS

Comprehensive Risk Focused Reviews

Our Vendor and Service Provider Compliance Review is an independent, hands-on deep dive audit procedure that concentrates on evaluating the risks posed by the vendor and service provider relationships, given relevant documentation.

Meets examination of the Consumer Financial Protection Bureau and Federal Agencies.

We can customize the review to conform to specific compliance needs.

Service Provider and Vendor Compliance Risk Assessments

- Hands-On Reviews
- Document Evaluation
- Deep Dive Due Diligence
- Civil and Criminal Background Check
- Repository and Database Maintenance
- Risk Rating

Vendor Management Program Development

- Policies
- Procedures
- Tools and Methodologies
- Training
- Guidance
- Due Diligence Process
- Third-Party Evaluations
- Risk Assessments
- FFIEC, CFPB, Federal Agencies
- (Examination Readiness)

☆ INTRODUCTORY OFFER ☆

VENDOR COMPLIANCE
POLICY AND PROCEDURES

IN FIRST ORDER!
(CONTACT US FOR DETAILS)