

VENDOR COMPLIANCE

OVERVIEW

Risk Identification and Assessment

Comprehensive analysis and due diligence support that evaluate various functional and operational risks posed by the service provider relationship.

Our review provides risk assessment support through a professional team of experienced compliance professionals.

We review service providers and vendors in accordance with the guidelines set forth by the Consumer Financial Protection Bureau, GSEs, and Federal Agencies, with respect to reviewing business relationships in a manner that ensures compliance appropriate risk assessment standards.



Vendors Compliance Group

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VENDORS COMPLIANCE GROUP

CLOSING AGENTS

SETTLEMENT AGENTS

THIRD-PARTY VENDORS

SERVICE PROVIDER RELATIONSHIPS

Comprehensive Risk Evaluations

VENDORS COMPLIANCE GROUP specializes in due diligence, risk assessments for banks, non-banks, credit unions, and other financial services companies.

Service Provider Reviews are independent and deep dive audits that concentrates on evaluating the risks posed by the vendor and service provider relationships, given relevant documentation.

Meets examination of the Consumer Financial Protection Bureau and Federal Agencies.

We offer hands-on and automated processes!

Service Provider Risk Assessments

Hands-On Reviews

Document Evaluation

Deep Dive Due Diligence

Civil and Criminal Background Check

Repository and Database Maintenance

Risk Rating

Three Types of Service Providers

General Closing Agent On a per loan transaction basis, any third party that provides a material service to the Company in connection with the closing, offering or provision by the Company of a consumer financial product or service.

Preferred Closing Agent Any third party that regularly provides a material service to the Company on loan transactions in connection with the closing, offering or provision by the Company of a consumer financial product or service.

Third Party Vendor Any third-party service or product provider, vendor or contractor that poses or may pose an appreciable regulatory, financial, operational or reputational risk to the Company.